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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/715,607 Filing Date TRANSMITTAL November 18, 2003 First Named Inventor **FORM** Rosen et al. Art Unit TBA **Examiner Name** TBA (to be used for all correspondence after initial filing) Attorney Docket Number 103095-41585 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): **Post Card** Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name
Gibbons, Del Deo, Dolan, Griffinger and Vecchione

Signature
Printed name
William H. Epstein

Reg. No. 20,008

Landscape Table on CD

Remarks

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

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Reply to Missing Parts/ Incomplete Application

Reply to Missing Parts under 37 CFR 1.52 or 1.53

Document(s)

Jadith A. Williams-Matthew

Date |

November 22, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (08-03) Approved for use through 07/31/2006, OMB 0651-0031

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Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/715,607			
Filing Date	November 18, 2003			
First Named Inventor	Rosen et al.			
Art Unit	ТВА			
Examiner Name	TBA			
Attornov Docket Number	102005-41585			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5,135,683	08-04-1992	Cooper	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	۲
		WO 03/044056	05-30-2003	Pharmacia		
		WO 02/059179	08-01-2002	Shearwater	Page 4, lines 17-33	
					Pages 19, lines 24 through	
					pages 20, line 3.	
	<u> </u>					

Examiner	Date	
Signature	Considered	1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Attorney Docket No: 103095-41585

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: : Examiner: TBA

Perry Rosen and Kwang Nho

:

Serial No.: 10/715,607 : Group Art Unit: TBA

Filed: **November 18, 2003** :

Novel Monofunctional Polyethylene

Glycol Aldehydes

Commissioner of Patent P.O. Box 1450 Alexandria, VA 22313-1450

For:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the provisions of 37 C.F.R. §1.97-1.99, applicants and their attorneys respectfully request that the reference cited on the attached Form PTO 1449 be made of record in the official United States Patent and Trademark Office file for the above-identified application.

Copies of the references are submitted herewith along with the completed form PTO-1449. The references listed on attached Form PTO-1449 were set forth in the PCT Search Report mailed by the PCT Patent Office on November 5, 2004. A copy of the PCT Search Report is enclosed herewith.

The citations listed on Form PTO-1449 do not constitute an admission that the references are relevant or material to the claims.

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56. The submission of references with this Information Disclosure Statement should not be construed by the Examiner that a comprehensive search through the prior art was conducted; it is requested that the Examiner make such a comprehensive search.

The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart PCT application not more than 30 days prior to the filing of this statement, namely on November 5, 2004. Consequently, no fee is due.

Pursuant to Rule 37 C.F.R. § 1.97(b), an Information Disclosure Statement shall be considered by the Patent Office if filed before the mailing date of the first Official Action on the merits. Thereafter, an Information Disclosure Statement shall be considered if it is accompanied by the requisite fee set forth in 37 C.F.R. § 1.17. As this Information Disclosure Statement is being filed prior to the receipt of a first Official Action on the merits, no fee is required. Finally, the Commissioner is authorized to charge any additional fee or credit any overpayment in such fees to Deposit Account No. 03-3839.

Applicants respectfully request that the Examiner consider and make of record the documents cited herein. Applicants further request that a copy of the Form PTO-1449, appropriately initialed by the Examiner, be returned to Applicant's attorney.

If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact applicant's attorney at the telephone number set forth below.

Please address all correspondence to the correspondent address for Customer No. 26345 of Gibbons, Del Deo, Dolan, Griffinger & Vecchione. Telephone calls should be made to William H. Epstein by dialing Area Code (973) 596-4500.

Respectfully submitted,

William H. Epstein Reg. No. 20,008

Attorney for Applicant

Date: June 23, 2003

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